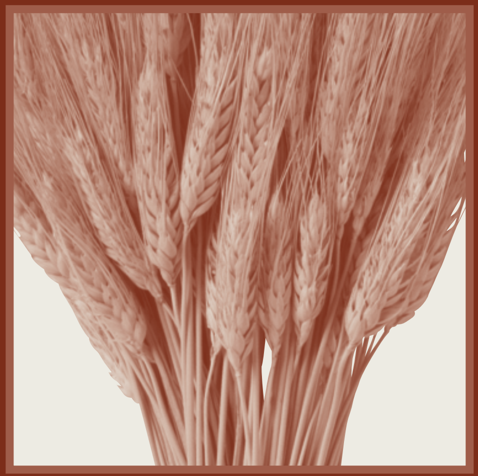
# Publicly Traded Securities



## Gifts of Appreciated Securities

A gift of appreciated securities (owned for more than one year) to The Rotary Foundation can greatly benefit a donor by providing tax benefits through a charitable income tax deduction.* On the gift date, the donor would

- Receive a charitable income tax deduction for the full fair market value of the securities
- Avoid paying capital gains taxes on the appreciated securities resulting from the sale of the securities
- Help The Rotary Foundation further its humanitarian, educational, and cultural exchange programs

**For Example:**

Ten years ago, Rotarian and Mrs. Smith invested US$10,000 to purchase 1,000 shares of common stock at $10 per share. Today, the stock is worth $30 a share for a total value of $30,000, a capital gain of $20,000.

If the Smiths sold the stock outright, they would pay a capital gains tax of $3,000 or 30 percent of the amount originally paid on the stock. If the Smiths gifted the stock to The Rotary Foundation, they would receive a $30,000 charitable deduction with a five-year carryover and avoid any capital gains taxes.

*Please check with your financial adviser on how these tax benefits may apply to your situation.

"…ADD TO *goodwill* AND *understanding* AMONG PEOPLE…"

"As a donor, I learned that a stock gift benefits both the Foundation and the donor. Through Rotary, your gift of stock can help world peace, provide for unmet human needs, and add to goodwill and understanding among people around the world. How can one be more efficient and effective in charitable giving?"

**R. Sterling Spafford**
Salt Lake City, Utah
Past District Governor
District 5420

*…gift of stock can help…*

…gift of stock can help world peace…

If you have questions, please contact:

Gift Administration / FN120
The Rotary Foundation
One Rotary Center
1560 Sherman Avenue
Evanston, IL 60201-3698 USA
Telephone: 847-866-3380
Fax: 847-328-5260
www.rotary.org

175-EN—(1005)

The Rotary Foundation

Donors may transmit gifts of marketable securities to The Rotary Foundation through their broker or by mail. In each case, a letter or notice of transmittal form like the one attached outlining the intended purpose of the gift is appreciated. Privately held or other gifts of securities must be reviewed by The Rotary Foundation prior to acceptance.

*The mission of The Rotary Foundation is to support the efforts of Rotary International in the fulfillment of the Object of Rotary, Rotary's mission, and the achievement of world understanding and peace through local, national, and international humanitarian, educational, and cultural programs.*

## Through a Broker

Brokers may transfer securities directly to the Foundation's brokerage account using the following account information.

**In the United States:**

The Rotary Foundation of Rotary International
Merrill Lynch, Pierce, Fenner & Smith, Inc.
400 Skokie Boulevard
Northbrook, IL 60062
Telephone: 847-564-7240
Foundation Account: 695-04362
DTC: 5198
Contact: Steven Loewenthal

The date securities are received in the Foundation's brokerage account is the date of the gift.

## By Mail

Stock certificates endorsed to The Rotary Foundation should be sent by registered or insured mail.

A donor may also transfer stock by completing a stock power form (available from any broker) and mailing it to the Foundation. The signature(s) on the stock power must match the title on the stock certificate and be signed by all named parties. The stock certificate should remain unsigned and be mailed to the Foundation in a separate envelope. The postmark date on the envelope is the date of the gift.

## Notice of Transmittal Gift of Securities

MY GIFT WILL BE MADE AS FOLLOWS:

My gift is worth approximately US$ ____________________.

☐ I have notified my broker to wire transfer

________ shares of ________________________ stock.
Number / Company

to The Rotary Foundation.

☐ Enclosed with this form is a physical certificate for

________ shares of ________________________ stock.
Number / Company

The completed stock power form will be sent under separate cover.

☐ I would like to speak with someone about giving stock or mutual fund shares.

Please call me at ______________________________

PLEASE DIRECT MY GIFT TO:

☐ Annual Programs Fund
☐ Permanent Fund (World Fund)
☐ Permanent Fund (*SHARE*)

Name

Address

City | State | Postal Code

Telephone

E-mail

Rotary Club

District

Please mail or fax this form to:

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